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Patent Application Number: 09/965,071 Attorney Docket Number: 1093 006 301 0202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Terrence SICK et al.

GROUP:

3624

SERIAL NO:

09/965,071

EXAMINER:

J. PATEL

FILED:

September 27, 2001

CONFIRMATION:

2718

FOR:

COMPUTER-BASED SYSTEM AND METHOD FOR SEARCHING AND SCREENING

FINANCIAL SECURITIES AND RELEVANT INTELLECTUAL PROPERTY

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313–1450

STATUS INQUIRY

Sir:

The Applicant filed the above-referenced patent application on September 27, 2001.

To date, the Applicant has not received any notice of a formal examination of the aboveidentified patent application. Thus, the Applicant respectfully requests that the Examiner, who is assigned to the above-identified patent application, inform the Applicant of the status of the above-identified patent application.

Respectfully submitted,

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